



KEN PAXTON
ATTORNEY GENERAL OF TEXAS

November 5, 2019

Mr. Jonathan Miles
Open Records Attorney
Texas Health and Human Services Commission
P.O. Box 13247
Austin, Texas 78711-3247

OR2019-31194

Dear Mr. Miles:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 795353 (Reference No. 19448).

The Texas Health and Human Services Commission (the "commission") received a request for a specified report. You claim the submitted information is excepted from disclosure under section 552.101 of the Government Code. We have considered the claimed exception and reviewed the submitted information.

Section 552.101 of the Government Code excepts from public disclosure "information considered to be confidential by law, either constitutional, statutory, or by judicial decision." Gov't Code § 552.101. Section 552.101 encompasses sections 401.126 and 401.133 of title 42 of the Code of Federal Regulations. The submitted information includes a CMS-2567 federal deficiency form. You claim this information is confidential under sections 401.126 and 401.133. These federal regulations require the commission to release the CMS-2567 form provided that (1) no information identifying individual patients, physicians, other practitioners, or other individuals shall be disclosed, and (2) the provider whose performance is being evaluated has had a reasonable opportunity to review the report and to offer comments. *See* 42 C.F.R. §§ 401.126, .133; Open Records Decision No. 487 (1988). The CMS-2567 form does not contain the signature of the hospital's representative or any comments provided by the hospital. You do not inform us that the commission sent this form to the hospital for review and comments, that the investigated hospital has had a reasonable opportunity to review this federal report, or any evidence that the federal and state conditions that would authorize the commission to publicly release this form have been met. Thus, we conclude the commission must withhold the unsigned CMS-2567 form from the requestor under section 552.101 of the Government Code in conjunction with sections 401.126 and 401.133 of title 42 of the Code of Federal Regulations. *See* 42 C.F.R. §§ 401.126, .133.

Section 552.101 of the Government Code also encompasses chapter 241 of the Health and Safety Code, which governs the licensing of hospitals. Section 241.051 authorizes the commission to make any inspection, survey, or investigation that it considers necessary, and provides, in pertinent part:

(d) All information and materials obtained or compiled by the [Department of State Health Services (the “department”)¹] in connection with a complaint and investigation concerning a hospital are confidential and not subject to disclosure under [the Act], and not subject to disclosure, discovery, subpoena, or other means of legal compulsion for their release to anyone other than the department or its employees or agents involved in the enforcement action except that this information may be disclosed to:

- (1) persons involved with the department in the enforcement action against the hospital;
- (2) the hospital that is the subject of the enforcement action, or the hospital’s authorized representative;
- (3) appropriate state or federal agencies that are authorized to inspect, survey, or investigate hospital services;
- (4) law enforcement agencies; and
- (5) persons engaged in bona fide research, if all individual-identifying and hospital-identifying information has been deleted.

(e) The following information is subject to disclosure in accordance with [the Act]:

- (1) a notice of alleged violation against the hospital, which notice shall include the provisions of law which the hospital is alleged to have violated, and a general statement of the nature of the alleged violation;
- (2) the pleadings in the administrative proceeding; and
- (3) a final decision or order by the department.

Health & Safety Code § 241.051(d), (e). You state the commission obtained and compiled the remaining information in connection with the investigation of a complaint concerning a hospital. You also state none of the exceptions to confidentiality found in sections 241.051(d) or (e) apply to the information at issue. Based upon your representations and

¹ The Eighty-Fourth Legislature passed Senate Bill 200, which as of September 1, 2017, transferred the licensing and regulation of hospitals from the department to the commission.

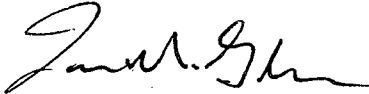
our review, we conclude the remaining information is confidential and must be withheld under section 552.101 of the Government Code in conjunction with section 241.051(d) of the Health and Safety Code.

In summary, commission must withhold the unsigned CMS-2567 form from the requestor under section 552.101 of the Government Code in conjunction with sections 401.126 and 401.133 of title 42 of the Code of Federal Regulations. The commission must withhold the remaining information under section 552.101 of the Government Code in conjunction with section 241.051(d) of the Health and Safety Code.

This letter ruling is limited to the particular information at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other information or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For more information concerning those rights and responsibilities, please visit our website at <https://www.texasattorneygeneral.gov/open-government/members-public/what-expect-after-ruling-issued> or call the OAG's Open Government Hotline, toll free, at (877) 673-6839. Questions concerning the allowable charges for providing public information under the Public Information Act may be directed to the Cost Rules Administrator of the OAG, toll free, at (888) 672-6787.

Sincerely,



James M. Graham
Assistant Attorney General
Open Records Division

JMG/gw

Ref: ID# 795353

Enc. Submitted documents

c: Requestor
(w/o enclosures)